

1. Name of Listed Entity: IIFL Wealth Management Limited
2. Scrip Code/Name of Scrip/Class of Security: IIFLWAM
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares	No. of Partly paid-up equity shares	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
			(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	As a % of	No of Voting Rights	Total as a	No. (a)	As a % of	No. (a)	As a % of		

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							(A+B+C2)	Class eg: X	Class eg: y	Total	% of (A+B+C)	(X)	(X) = (VII) + (X)	total Shares held (b)		total Shares held (b)		
													As a % of (A+B+C2)					
A	Promoter & Promoter Group	184	21020104	0	0	21020104	24.13	21020104	0	21020104	24.13	0	24.13	7722647	36.74	13755	0.07	21020104
B	Public	213424	66085724	0	0	66085724	75.87	66085724	0	66085724	75.87	0	75.87	31798677	48.12			66046205
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0			0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	213608	87105828	0	0	87105828	100	87105828	0	87105828	100	0	100	39521324	45.37	13755	0.02	87066309

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1 Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a Individuals/Hindu undivided Family		16	20448676	0	0	20448676	23.48	204480676	20448676	23.48	0	23.48	7722647	37.77	13755	0.07	20448676	
Nirmal Bhanwarlal Jain	ABR PJ923 5G	1	6628856	0	0	6628856	7.61	66288056	6628856	7.61	0	7.61	0	0	0	0	6628856	
Yatin Shah	AAM PS42 25K	1	3314097	0	0	3314097	3.8	33140097	3314097	3.8	0	3.8	3310526	99.89	0	0	3314097	
Venkataraman Rajamani	ADH PR66 33G	1	1569204	0	0	1569204	1.8	15692004	1569204	1.8	0	1.8	0	0	0	0	1569204	
Karan Bhagat	AEBP B724 9B	1	306569	0	0	306569	0.35	3065609	306569	0.35	0	0.35	286300	93.39	0	0	306569	

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Madhu N Jain	ABEP J6034 E	1	17249 99	0	0	172499 9	1.98	172490 99	172 499 9	1.98	0	1.98	0	0	0	0	1724999
IIFL Investment Adviser And Trustee Services Limited (Trustee to Kyra Family Private Trust)	AAD TK08 62B	1	15000 00	0	0	150000 0	1.72	150000 00	150 000 0	1.72	0	1.72	1500 000	100	0	0	1500000
IIFL Investment Adviser And Trustee Services Limited (Trustee to Kush Family Private Trust)	AAD TK08 64H	1	15000 00	0	0	150000 0	1.72	150000 00	150 000 0	1.72	0	1.72	1500 000	100	0	0	1500000
Mansukhlal Jain (Trustee to Nirmal Madhu Family Private Trust)	AAC TN78 96B	1	14285 71	0	0	142857 1	1.64	142850 71	142 857 1	1.64	0	1.64	0	0	0	0	1428571
Aditi Avinash Athavankar (Trustee to Kalki Family	AAD TK07 62E	1	12857 14	0	0	128571 4	1.48	128570 14	128 571 4	1.48	0	1.48	0	0	0	0	1285714

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	Private Trust)																		
	Shilpa Bhagat	AJYP B318 0H	1	1125821	0	0	1125821	1.29	1125821	0	1125821	1.29	0	1.29	1125821	100	0	0	1125821
	Aditi Athavankar	AAC PA00 90J	1	28571	0	0	28571	0.03	28571	0	28571	0.03	0	0.03	0	0	0	0	28571
	Karan Bhagat (Partner of Kyrush Investments)	AAM FK71 28H	1	14285	0	0	14285	0.02	14285	0	14285	0.02	0	0.02	0	0	13755	96.29	14285
	Yatin Prakash Shah HUF	AAA HY74 18N	1	11428	0	0	11428	0.01	11428	0	11428	0.01	0	0.01	0	0	0	0	11428
	Prakash Chunilal Shah (partner to Yatin Investments)	AAA FY27 84K	1	6419	0	0	6419	0.01	6419	0	6419	0.01	0	0.01	0	0	0	0	6419
	Prakash Chunilal Shah	AAC PS45 98M	1	3571	0	0	3571	0	3571	0	3571	0	0	0	0	0	0	0	3571
	Ami Yatin Shah	ACN PS36 20H	1	571	0	0	571	0	571	0	571	0	0	0	0	0	0	0	571
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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	Institutions/ Banks																		
d	Any Other (specify)		2	571428	0	0	571428	0.66	571428	0	571428	0.66	0	0.66	0	0	0	0	571428
	Person Acting in Concert		2	571428	0	0	571428	0.65	571428	0	571428	0.65	0	0.65	0	0	0	0	571428
	Ardent Impex Pvt Ltd	AAE CA76 31G	1	385714	0	0	385714	0.44	385714	0	385714	0.44	0	0.44	0	0	0	0	385714
	Orpheus Trading Pvt Ltd	AAA CO71 72N	1	185714	0	0	185714	0.21	185714	0	185714	0.21	0	0.21	0	0	0	0	185714
	Sub-Total (A)(1)		18	21020104	0	0	21020104	24.13	21020104	0	21020104	24.13	0	24.13	7722647	36.74	13755	0.07	21020104
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	18	21020104	0	0	21020104	24.13	21020104	0	21020104	24.13	0	24.13	7722647	36.74	13755	0.07	21020104
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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
c	Alternate Investment Funds		2	72752	0	0	72752	0.08	72752	0	72752	0.08	0	0.08	0	0			72752
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
e	Foreign		107	16396	0	0	16396	18.82	16396	0	16396	18.82	0	18.82	0	0			16396

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	Portfolio Investors			541			41		541		96541						
	Smallcap World Fund, Inc	AAB CS35 81L	1	4324454	0	0	4324454	4.96	4324454	0	4324454	4.96	0	4.96	0	0	4324454
	Hwic Asia Fund Class A Shares	AAA CO45 04G	1	4051790	0	0	4051790	4.65	4051790	0	4051790	4.65	0	4.65	0	0	4051790
	Bank Muscat India Fund	AAD CB40 40P	1	1799746	0	0	1799746	2.07	1799746	0	1799746	2.07	0	2.07	0	0	1799746
	Wf Asian Reconnaissance Fund Limited	AAB CW5 771E	1	1359336	0	0	1359336	1.56	1359336	0	1359336	1.56	0	1.56	0	0	1359336
f	Financial Institutions/ Banks		1	249	0	0	249	0	249	0	249	0	0	0	0	0	249
g	Insurance Companies		1	300000	0	0	300000	0.34	300000	0	300000	0.34	0	0.34	300000	100	300000
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		111	16769542	0	0	16769542	19.25	16769542	0	16769542	19.25	0	19.25	300000	1.79	16769542
2	Central Government/		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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	State Government(s) / President of India																		
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -	19813	10070933	0	0	10070933	11.56	10070933	0	10070933	11.56	0	11.56	6123889	60.81			10063716	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	19787	4154666	0	0	4154666	4.77	4154666	0	4154666	4.77	0	4.77	1687447	40.62			4147449	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	26	5916267	0	0	5916267	6.79	5916267	0	5916267	6.79	0	6.79	4436442	74.99			5916267	
b	NBFCs registered with RBI	2	170	0	0	170	0	170	0	170	0	0	0	0	0			170	
c	Employee Trusts	2	46754	0	0	46754	0.05	46754	0	46754	0.05	0	0.05	36040	77.08			46754	
d	Overseas Depositories	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0	

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	(holding DRs) (balancing figure)																	
e	Any Other (specify)		1414	391980	0	0	391983	45	391980	391	45	0	45	2533	64.6			39166023
				325			25		325	983			8748	4				
	Bodies Corporate		322	487720	0	0	487725	0.56	487720	487	0.56	0	0.56	2257	46.2			487565
				5			5		5	725			00	8				
	Clearing Members		40	452490	0	0	45249	0.05	452490	452	0.05	0	0.05	0	0			45249
										49								
	Foreign Nationals		3	345360	0	0	34536	0.04	345360	345	0.04	0	0.04	0	0			34536
										36								
	HUF		557	442670	0	0	44267	0.05	442670	442	0.05	0	0.05	0	0			44267
										67								
	IEPF		1	36690	0	0	3669	0	36690	366	0	0	0	0	0			3669
										9								
	Non-Resident Indian (NRI)		483	308260	0	0	308264	3.54	308260	308	3.54	0	3.54	1704	55.2			3050498
				40			0		40	264				444	9			
	Amit Nitin Shah	ACZP1 S4397 P	1	156840	0	0	156842	1.8	156840	156	1.8	0	1.8	1568	100			1568421
				21			1		21	842				421				
	Others		8	355000	0	0	355002	40.76	355000	355	40.76	0	40.76	2340	65.9			35500239
				239			39		239	002				8604	4			
										39								
	General Atlantic Singapore Fund Pte. Ltd.	AAE CG35 27D	1	186190	0	0	186195	21.38	186190	186	21.38	0	21.38	1861	100			18619550
				550			50		550	195				9550				
	FIH Mauritius Investments	AAC CF52	1	120910	0	0	120916	13.88	120910	120	13.88	0	13.88	0	0			12091635
				635			35		635	916								

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	Ltd	36C								35								
	Rimco (Mauritius) Limited	AAH CR20 23A	1	19940 00	0	0	199400	2.29	19940 00	0	199 400 0	2.29	0	2.29	1994 000	100		1994000
	WF Asian Reconnaisan ce Fund Limited	AAB CW5 771E	1	13560 54	0	0	135605	1.56	13560 54	0	135 605 4	1.56	0	1.56	1356 054	100		1356054
	Amansa Holdings Private Limited	AAK CA72 37L	1	10920 00	0	0	109200	1.25	10920 00	0	109 200 0	1.25	0	1.25	1092 000	100		1092000
	Sub-Total (B)(3)		21231	49316 182	0	0	493161	56.62	49316 182	0	493 161 82	56.62	0	56.62	3149 8677	63.8 7		49276663
	Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		21342	66085 724	0	0	660857	75.87	66085 724	0	660 857 24	75.87	0	75.87	3179 8677	48.1 2		66046205

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	William E Ford		545814211	United States of America	21.38	21.38	21.38			
A	General Atlantic Singapore Fund Pte Ltd.	AAECG3527D		Singapore	21.38	21.38	21.38	No	No	25-Feb-2016
2	Nirmal Bhanwarlal Jain and Madhu N Jain collectively	ABRPJ9235G		INDIA	0.65	0.65	0.65			
A	Ardent Impex Pvt Ltd	AAECA7631G		INDIA	0.44	0.44	0.44	No	No	06-Jun-2019
B	Orpheus Trading Pvt Ltd	AAACO7172N		INDIA	0.21	0.21	0.21	No	No	06-Jun-2019
3	Harshita Jain, Trustee of Nirmal Madhu Family Private Trust	AWGPJ4500N		INDIA	1.64	1.64	1.64			
A	Harshita Jain and Mansukhlal	AACTN7896B		INDIA	1.64	1.64	1.64	No	No	06-Jun-2019

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	Jain, Trustee of Nirmal Madhu Family Private Trust									
4	Mansukhlal Jain, Trustee of Nirmal Madhu Family Private Trust	AACPJ7089 G		INDIA	1.64	1.64	1.64			
A	Harshita Jain and Mansukhlal Jain, Trustee of Nirmal Madhu Family Private Trust	AACTN789 6B		INDIA	1.64	1.64	1.64	No	No	06-Jun-2019

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

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No. of shareholders	No of share held
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Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

Name : Ashutosh Naik
Designation : Company Secretary & Compliance Officer

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